



**REPORT TO THE JOINT COMMITTEE**

**26 SEPTEMBER 2018**

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**Report by:** GwE Managing Director

**Subject:** GwE Risk Register

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**1.0 Purpose of the Report**

1.1 To present the latest GwE Risk Register to the Joint Committee.

**2.0 Background**

2.1 The purpose of the risk register is to formalise the process of identifying risks and consequently taking action to mitigate the risk.

2.2 Effective management of the region's risks will enable GwE to support the region's objectives, make effective use of resources and deliver outcomes as intended.

**3.0 Considerations**

3.1 The GwE Risk Register is a live document which is kept under regular review. It is presented to the Joint Committee on an annual basis & also when new risks are identified where the Joint Committee needs to be made aware.

3.2 All of the risks have been reviewed & updated; with a total of 3 risks being updated (Risks 5, 11 and 17).

3.3 It is therefore suggested that the risks noted in 3.2 be amended as follows:

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| Risk Number | Current Activities / Mitigation   |
|-------------|---|
| 5           | Senior Lead & Curriculum for Wales team now in post. Portfolio leads also in place. Significant engagement activity taken place & underway. Working closely with stakeholders. All clusters of schools across the region have appointed a cluster lead for Curriculum for Wales. Training has taken place on Curriculum for Wales and change management. Team worked to develop suite of resources to support schools with audit of 4 Purposes. All planning within GwE has cross reference of the 4 purposes with Curriculum for Wales. Project Manager with regard to the Reform Agenda has been appointed.   |
| 5           | To change the risk level to Orange.   |
| 11          | Regional Wellbeing Adviser in post. Review of PDG completed & action plan in place. Regional PDG LAC Coordinator has been appointed .   |
| 17          | <ul style="list-style-type: none"><li>• 6 secondary schools (12.95%) in a statutory category [one came out of last year's category and one school that we had identified as a 'high risk school' had slipped into the category.</li><li>• Of the 6 currently in a category, there is a strong increase in 2 of them and some increase in 1. There is an expectation that at least 2 of these schools will be removed from a category during the current season.</li><li>• An increase in the other 3 schools is limited [one gone into category during the summer term] and there is further significant work to be done to ensure the occasional improvements</li><li>• In addition to the 'statutory category' schools, 8 regional secondary schools have been identified as 'high risk'. Very strong possibility of a statutory category should an inspection be held there during the next 2 seasons. Very intense intervention and support programs are active in these schools and progress is monitored by YCG contact. Where concerns are increased, the information is shared with LA officers through the Quality Assurance Boards.</li></ul> |

The following risk matrix has been followed in determining the residual risk status.

| Risk Matrix |                |            |               |               |               |
|-------------|----------------|------------|---------------|---------------|---------------|
| Probability | Almost Certain | Low<br>(4) | Medium<br>(8) | High<br>(12)  | High<br>(16)  |
|             | Likely         | Low<br>(3) | Medium<br>(6) | Medium<br>(9) | High<br>(12)  |
|             | Possible       | Low<br>(2) | Low<br>(4)    | Medium<br>(6) | Medium<br>(8) |
|             | Unlikely       | Low<br>(1) | Low<br>(2)    | Low<br>(3)    | Low<br>(4)    |
|             |                | Low        | Medium        | High          | Extreme       |
| Impact      |                |            |               |               |               |

#### 4.0 Recommendations

- 4.1 The Joint Committee is asked to review the content of the register.
- 4.2 Determine whether there are any risks that the Joint Committee wish to bring to the attention of their respective Cabinet's etc.

#### 5.0 Financial Implications

- 5.1 Effective management of risks and financial controls help to monitor costs and enable value for money.

#### 6.0 Equalities Impact

- 6.1 There are no new equalities implications arising from this report.

#### 7.0 Personnel Implications

- 7.1 There are no new personnel implications arising from this report.

#### 8.0 Consultation Undertaken

- 8.1 The GwE Senior Leadership Team and the GwE Management Board have discussed the content of the register & have amended risks as appropriate.

**9.0 Appendices**

9.1 GwE Risk Register

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**OPINION OF THE STATUTORY OFFICERS**

**Monitoring Officer:**

A risk monitoring system is an essential part of effective governance. By considering the risk profile outlined in the table it is important that the Joint Committee gives detailed attention to the assessment and the response.

**Statutory Finance Officer:**

I believe that the GwE risk register is on a Standard format and includes appropriate information. Gwynedd's Finance Section will provide support to the GwE Managing Director regarding Financial risks. Further comments on specific risks, as appropriate, will be reported orally at the meeting of the Joint Committee on the 26th September.